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PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known	
			Application Number	09/661,168
			Filing Date	September 13, 2000
			First Named Inventor	Inna Vogel
			Group Art Unit	2161
			Examiner Name	Not Yet Assigned
Sheet 1 of 7	Attorney Docket Number		003801.P015	

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
Jmb		3,581,072	Nymayer	5/25/1971	3/28/1968
Jmb		3,573,747	Adams, et al.	4/6/1971	2/24/1969
Jmb		4,412,287	Braddock, III	10/25/1983	9/15/1982
Jmb		4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
Jmb		4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
Jmb		4,789,928	Fujisaki	12/6/1988	1/30/1987
Jmb		4,799,156	Shavit, et al.	1/17/1989	10/1/1986
Jmb		4,823,265	Nelson	4/18/1989	5/11/1987
Jmb		4,903,201	Wagner	2/20/1990	11/3/1983
Jmb		5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
Jmb		5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
Jmb		5,101,353	Lupien, et al.	3/31/1992	5/31/1989

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
Jm	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
Jm	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
Jm	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
Jm	MALONE, T.W.; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6.	
Jm	WARBELOW, A; KOKURYU, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
Jm	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1	
Jm	NEO, B.S, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1	

Examiner Signature	Date Considered
<i>[Signature]</i>	5-13-03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Number			
JL		5,136,501	Silverman, et al.	8/4/1992	5/26/1989
JL		5,168,446	Wiseman	12/1/1992	5/23/1989
JL		5,243,515	Lee	9/7/1993	10/30/1990
JL		5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
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JL		5,297,032	Trojan, et al.	3/22/1994	2/1/1991
JL		5,305,200	Hartheimer, et al.	4/19/1994	11/2/1990
JL		5,325,297	Bird, et al.	6/28/1994	6/25/1992
JL		5,329,589	Fraser, et al.	7/12/1994	6/3/1993
JL		5,375,055	Togher, et al.	12/20/1994	2/3/1992
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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
JL	Netherland	9300266	2.10.1993			No
JL	France	2658635-A1	2.6.1991			
JL	Canada	2,253,543	3/19/1997			Yes

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Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
JL	ROCKOFF, T.E; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16.	
JL	MASSIMB, M.N; PHELPS, B.D, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50.	
JL	POST, D.L; COPPINGER, S.S, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3.	
JL	HESS, C.M; KEMERER, C.F., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274.	

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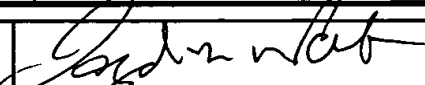
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<i>CS</i>	5,426,281	Abecassis	6/20/1995	10/29/1993
<i>JS</i>	5,553,145	Micali	9/3/1996	8/4/1995
<i>JS</i>	5,640,569	Miller, et al.	6/17/1997	4/28/1995
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<i>JS</i>	5,710,889	Clark, et al.	1/20/1998	6/7/1995
<i>JS</i>	5,715,402	Popolo	2/3/1998	11/9/1995
<i>JS</i>	5,717,989	Tozzoli, et al.	2/10/1998	10/13/1994
<i>JS</i>	5,727,165	Ordish, et al.	3/10/1998	12/27/1994
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<i>JS</i>	5,818,914	Fujisaki	10/6/1998	3/27/1995
<i>JS</i>	5,826,244	Huberman	10/20/1998	8/23/1995

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	Office or Country	Number	Date			
	PCT	WO99/63461	12/9/1999			
	PCT	WO95/17711	6/29/1995			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3.	
	LEE, Ho G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV.	
	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol. 12.	

Examiner Signature 	Date Considered	5-12-03
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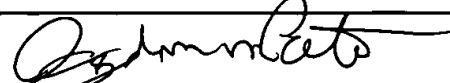
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		Number Name of Patentee or Applicant of Cited Document			
<div>End ↓</div>		5,835,896	Fisher, et al.	11/10/1998	3/29/1996
		5,845,266	Lupien, et al.	12/1/1998	12/12/1995
		5,850,442	Muftic	12/15/1998	3/26/1996
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		5,485,510	Colbert	1/16/1996	9/1/1994
		5,557,728	Garrett, et al.	9/17/1996	11/22/1994
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		5,657,389	Houvener	8/12/1997	5/8/1995
		5,694,546	Reisman	12/2/1997	5/21/1994
		5,706,457	Dwyer, et al.	1/6/1998	6/7/1995
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FOREIGN PATENT DOCUMENTS							
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OTHER DOCUMENTS		
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↓	MARDESICH, J., "Onsale takes auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32.	
↓	"Onsale joins fray as online shopping picks up speed: INTERNET BOOMS", <u>Computer Reseller News</u> , June 5, 1995, Page 73 (www.dialogweb.com).	
↓	SIEGMANN, Ken, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42 (www.dialogweb.com).	
↓	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, (pp 3) Dialog Web. 0489267 BW0022.	

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U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
BT		5,926,794	Fethe	7/20/1999	3/6/1996
		5,991,739	Cupps, et al.	11/23/1999	11/24/1997
		6,035,402	Vaeth, et al.	3/7/2000	12/20/1996
		6,044,363	Mori, et al,	3/28/2000	9/2/1997
		6,055,518	Franklin, et al.	4/25/2000	11/12/1996
		6,058,417	Hess, et al.	5/2/2000	10/23/1998
		6,073,117	Oyanagi, et al.	6/6/2000	3/13/1998
		6,104,815	Alcorn, et al.	8/16/2000	1/9/1998
		5,715,314	Payne, et al,	2/3/1998	10/24/1994
		5,722,418	Bro	3/3/1998	9/30/1994
		5,799,285	Klingman	8/25/1998	8/30/1996
		5,803,500	Mossberg	9/8/1998	3/27/1997

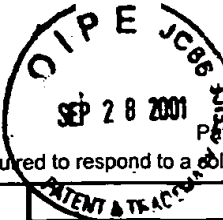
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BT	VAN HECK, E.; RIBBERS, P.M., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given.	
BT	LEE, H.G., "AUCNET: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given.	
BT	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given	
BT	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given.	

Examiner Signature	<i>Raydon A. Paul</i>	Date Considered	5-13-03
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Sheet 7 of 7

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JA	5,873,069		Reuhl, et al.	2/16/1999	10/13/1995
	5,722,418		Bro	3/3/1998	9/30/1994
	5,924,072		Havens	7/13/1999	1/6/1997
	5,874,412		Hazelhurst, et al.	10/26/1999	9/24/1997
	5,596,994		Bro	1/28/1997	5/2/1994

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JA	ZWASS, Vladimir, "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1, pp 3-23 (www.cba.bgsu.edu).	
	PRIEST, Chris; van TOL, Maarten, "Adaptive agents in a persistent shout double auction", Proceedings of the First International Conference on Information and Computation Economies, October 25-28, 1998, Charleston, US.	
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	MEADE, Jim, visual 360: a performance appraisal system that's "fun", <u>HR Magazine</u> , July, 1999 (Dialog file).	

Examiner
Signature

Jonathan R. Pat

Date
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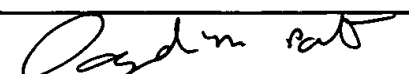
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		Group Art Unit 2161			
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JH	5,771,380	Tanaka, et al.	6/23/1998	10/7/1996
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	5,799,285	Klingman	8/25/1998	8/30/1996
	5,803,500	Mossberg	9/8/1998	3/27/1997
	5,845,265	Woolston	12/1/1998	11/7/1995
	5,872,848	Romney, et al.	2/16/1999	2/18/1997
	5,873,069	Reuhl, et al.	2/16/1999	10/13/1995
	5,884,056	Steele	3/16/1999	12/28/1995
	5,890,138	Godin, et al.	3/30/1999	8/26/1996
	5,905,974	Fraser, et al.	5/18/1999	12/13/1996
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	5,922,074	Richard, et al.	7/13/1999	2/28/1997

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↓	SCHMID, B.F., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10.		
↓	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", Norwegian Computing Center, No Date Given, www.nr.no/gem/elcom/publikasjoner/enter98e.html .		
↓	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given.		
↓	RECK, Martin, "TraDing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given.		

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Sheet 1 JUL 08 2002 of 1

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